Docket No.: YOR920030530US1

CBLH/20140-00316-US

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Katherine L. Saenger et al.

Application No.: Not Yet Assigned

Confirmation No.:

Filed: Concurrently Herewith

Art Unit: N/A

For:

FIELD EFFECT TRANSISTOR WITH

ETCHED-BACK GATE DIELECTRIC

Examiner: Not Yet Assigned

## **INFORMATION DISCLOSURE STATEMENT (IDS)**

MS Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement accompanies the new patent application submitted herewith.

A copy of each reference on the PTO/SB/08 is attached.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this

Application No.: Not Yet Assigned

Docket No.: YOR920030530US1

CBLH/20140-00316-US

application by this firm) to our Deposit Account No. 50-0510, under Order No. 20140-00316-US. A duplicate copy of this paper is enclosed.

Dated: /Z//0/

Respectfully submitted,

Matthew Mason

Registration No.: 44,904

CONNOLLY BOVE LODGE & HUTZ LLP

1990 M Street, N.W., Suite 800 Washington, DC 20036-3425

(202) 331-7111

(202) 293-6229 (Fax)

Attorney for Applicants

PTO/SB/08a/b (06-03)
Approved for use through 07/31/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO				Complete if Known		
				Application Number	Not Yet Assigned	
11	<b>NFORMATIO</b>	N DISC	CLOSURE	Filing Date	Concurrently Herewith	
STATEMENT BY APPLICANT				First Named Inventor	Katherine L. Saenger et al.	
				Art Unit	N/A	
	(Use as many si	heets as ned	essary)	Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	YOR920030530US1 CBLH/20140-00316-US	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.¹	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
						_

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \(^1\) Applicant's unique citation designation number (optional). \(^2\) See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. \(^3\) Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \(^4\) For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \(^5\) Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \(^6\) Applicant is to place a check mark here if English language Translation is attached.

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	JAKUB KEDZIERSKI, et al., Metal-gate FinFET and fully-depleted SOI devices using total gate silicidation, IBM Semiconductor Research and Development Center pgs. 247-250	
	СВ	B. GUILLAUMOT, et al., 75nm Damascene Metal Gate and High-k Integration for Advanced CMOS Devices, Cedex France, Meylan France, Marseille France, pgs. 355-358	
	СС	KATHERINE L. SAENGER, et al., A Selective Etching Process for Chemically Inert High-k Oxides, Mat. Res. Soc. Symp. Proc. Vol. 745© 2003 Material Research Society, IBM Research Division, T.J. Watson Research Center	
	CD	MATSUO J. YAMADA, , et al., Surface processing by gas cluster ion beams at the atomic (molecular) level, Ion Beam Engineering Experimental Laboratory, Kyoto University, Sakyo, Kyoto 606, Japan	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner Date Signature Considered			
	Examiner	Date	
		 Considered	

Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.